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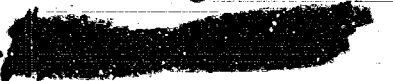
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96-2

C

Mrs.



96-3

F?

January 6, 1960

Mrs. [redacted] C

Dear Mrs. [redacted] B

Enclosed please find the [redacted] check in the amount of \$1,503.75 which represents the second quarterly payment on the grant the [redacted] made to you for A Preliminary Study of Group Interview Methods Designed to Elicit Personal Decision-Making Experiences.

B

sincerely,

[redacted] C
Assistant Professor

Enc:

96-4

C [REDACTED]

September 30, 1959

[REDACTED]

Dear Mrs. [REDACTED] B

Enclosed please find the [REDACTED] check in the amount of \$1,503.75 which represents the first quarterly payment on the grant that the [REDACTED] is asking for "A preliminary study of Group Interview Methods designed to Elicit Personal Decision-Making Patterns." B

The only requirements that apply to these funds are as follows:

1. A terminal report is to be submitted after the completion of the phase of research covered by this grant.
2. A terminal accounting of funds expended in obtaining, processing and reporting data shall be provided for our records.
3. Any technical reports or papers which grow out of the study supported under this grant shall contain the following notice: "This study was supported in part by a grant from [REDACTED] B"

Other than the above conditions, it is required that the funds be expended entirely for the research designated and that no profits accrue to any individual.

Enclosed please find a copy of the budget that [REDACTED] submitted. C

We are all very happy that Dr. [REDACTED] is feeling better.

The Board of Directors and the scientific advisors to [REDACTED] B join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely,

[REDACTED] Secretary

96-5



September 15, 1959

Mrs. [redacted] C

Dear Mrs. [redacted] C

Mr. [redacted] C is sorry that he did not have an opportunity to write to you directly but he was unexpectedly called out of town.

This letter will confirm the conversation that he had with you in which he stated that [redacted] B is prepared to forward to you on or about October 1st a quarterly payment in the amount of \$1,503.75 of the total grant being made in the amount of \$6,015.00 for "A Preliminary Study of Group Interview Methods Designed to Elicit Personal Decision-Making Matrices."

We would appreciate your letting us know if this method of payment is satisfactory and whether you wish the payment to be made directly to you or in another manner.

Sincerely,

[redacted] C
Assistant Treasurer

Project Proposal

B

To

A PRELIMINARY STUDY OF GROUP INTERVIEW METHODS DESIGNED TO ELICIT PERSONAL DECISION-MAKING PATTERNS

Investigators

Introduction

This is a proposal to the one entitled. It is designed to prepare the investigators in that project, and for conducting that study in the most effective manner possible, both by developing techniques of group discussion and analysis, and by preparing these investigators to make effective contacts with the groups of scholars being studied.

Method

It is proposed that a progressive series of groups be interviewed in order to elicit data in suitable form for mathematical analysis. The will be taken into account as possible. Both and have had extensive experience with this procedure and their connections with the university, as well as Dr. position as Dean at make certain valuable experimental groups of persons available to them for study.

As techniques are developed they will be applied to different national groups of students in both institutions. In the final stage the techniques will be applied through the use in order to make sure the procedure can be used abroad. There are several possible foreign groups available on the campus and it should be possible to anticipate most of the basic practical problems which will arise to executing the main project.

During this preliminary investigation the same recording and photographic equipment will be used as is planned for use in the main project. It is hoped to overcome whatever technical difficulties may arise well in advance of the departure of the main project investigators.

Budget

Salary Replacement for half-time \$ 4,500.00 for the academic year, 1955-1956

Drawing Account:

Travel between [redacted] and [redacted] for [redacted]	\$ 400.00
Travel for [redacted] and [redacted] [redacted] University for one week or printing on [redacted]	350.00
Portable, battery powered tape recorder and supplies	300.00
Camera and supplies	165.00,
Miscellaneous equipment and supplies	100.00
Secretarial help	200.00
 	<hr/>
TOTAL	\$ 6,015.00

Respectfully submitted.

[redacted]

Principal Investigator

4 August 1959

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MKULTRA, Subproject 96, Invoice No. 1
Allotment 0525-1009-4902

1. Invoice No. 1 is attached covering the above subproject. Payment should be made as follows:

E Cashier's Check in the amount of \$34,465.00 drawn on [redacted] payable to [redacted]

2. Please forward the check to Chief, TSS/Chemical Division through TSS/Budget Officer by Friday, 14 August 1959.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

[redacted]
Chief
TSS/Chemical Division

Attachments:
Invoice & Certifications

Distribution:
Orig & 2 - Addressee
1 - TSS/FASB [redacted]

1 COPY OF THIS MESSAGE AVAILABLE 5 AUG 1959
COMMUNICATIONS SECTION 244
CHECKED TO FILE NUMBER 0525-1009-4902

CHECK # 16260 IN FULL AMOUNT OF \$34,465.00 RECEIVED.

[redacted] A
8/14/59

[redacted]

April 16, 1962

Summary Accounting of the [redacted] project
Phases I and II Combined

Stipends [redacted] and [redacted]	\$19,500.00
Equipment and Supplies	1,722.27
Gifts	150.00
Travel	15,766.72
Secretarial Help	168.00
Miscellaneous	<u>121.10</u>
Total expended on whole project	\$37,428.09
Received	<u>34,465.00</u>
Absorbed from General Grant Funds	\$ 2,963.09

This is a true statement of the expenses of the [redacted] project.

I have examined and approved the submitted expenditures.

[redacted] Chief
TSS/Chemical Division

Dated: 4/27/62

September 19, 1960

Memorandum to [redacted] A
Subject: [redacted] project C

We requested a final accounting on this project when it is completed. In the meantime, the following payments have been made:

Paid directly to Mrs. [redacted] for a preliminary study was the sum of \$6,015.00 for the period Sept. 1959 through August 1960.

Paid directly to [redacted] bank account beginning in June 1960 the sum of \$7,000.00 plus expenses amounting to \$2,342.93 making the total paid to date to Dr. [redacted] \$9,342.93.

Amount received for this account \$34,465.00

Total expended to date 15,357.93

Balance in the account \$19,107.07 C

This is a true account of the status of the [redacted] project.

[redacted] C

I have examined and approved the submitted expenditures.

~~[Signature]~~
[redacted] A
[redacted] C
[redacted] C

96-12

February 6, 1960

[REDACTED]

Thank you for your accounting. Please find enclosed
[REDACTED] check in the amount of \$150.97. Hope you are well.
Best regards.

Sincerely,

[REDACTED]
Executive Secretary

Enc.

August 12, 1959

Dr. [redacted] C

Dear Dr. [redacted] C

I am pleased to inform you that your proposal has been approved, and we shall be forwarding a portion of your grant in the very near future.

I need to know how the funds should be transmitted, and whether quarterly payments will be satisfactory with you, or how you would like the payments arranged.

Two of the directors had some suggestions about the conduct of the latter portion of your work which, I think, are important. But, I feel they can wait until you are farther along, and until we have an opportunity to visit again, perhaps at the [redacted] Looking forward to seeing you soon.

Sincerely yours,

[redacted signature]
Executive Secretary

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 168360, dated August 12, 1959, in the amount of \$34,465.00, drawn on the [redacted] payable to [redacted] E

[redacted]

B

[redacted]

C

Date August 17, 1959

96-15

[Redacted]

4 August 1959

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TDS/Budget Officer

SUBJECT : TDS/110, Subproject 96, Invoice No. 1
Attachment 029-3009-4202

1. Invoice No. 1 is attached covering the above subproject. Payment should be made as follows:

Check in the amount of \$3,465.00, drawn
E on [Redacted] payable to [Redacted] B

2. Please forward the check to Chief, TDS/Chemical Division through TDS/Budget Officer by Parcel, 14 August 1959.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

A
[Redacted]
Chief
TDS/Chemical Division

Attachments:
Invoice & Certifications

Distribution:
Orig & 2 - Addressee

[Redacted]

INVOICE

For services

\$34,465.00

B



(1) It is hereby certified that this is Invoice No. 1 applying to Subproject No. 96 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date: _____

(2) It is hereby certified that this invoice applies to Subproject No. 96 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date: _____



22 July 1959

MEMORANDUM FOR: THE CONTROLLER
ATTENTION : Finance Division
SUBJECT : KULTRA, Subproject 96

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 96 has been approved, and \$3,465.00 of the over-all Project KULTRA funds have been obligated to cover this subproject's expenses and should be charged to Allotment 0525-1003-4902.

A
[Redacted]
Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

Research Director

Date: _____

Distribution:
Orig & 2 - Addressee

- 1 - TSS/CC
- 1 - TSS/FASB
- 2 - TSS/CD

TSS/CD [Redacted] (22 July 59)

A

[Redacted]

96-18
A

DRAFT
22 July 1959

MEMORANDUM FOR: THE RECORD

SUBJECT : MKULTRA, Subproject No. 96

1. The purpose of this project is twofold: (1) the psychology of personal constructs as developed by Dr. [redacted] has had successful application in this country and for some time there has been a desire to apply this methodology to foreign cultures; and (2) Dr. [redacted] accessibility and acceptability to [redacted] psychologists will make it possible to secure invaluable data on [redacted] research attitudes and personality information on researchers. MD/OSI, A&E/OTR, and SOB/DIP have expressed an interest in the results of this project and are willing to support it with requirements and technical support.

2. Dr. [redacted] is professor of psychology at [redacted] university. He has been a fully cleared consultant to the Agency for four years and has demonstrated unusual sensitivity and perceptivity to Agency needs, particularly in the area of assessment and the psychological support of [redacted]. He has an excellent national and international professional reputation. He is a member of [redacted] of the [redacted] past-president of the [redacted] and is the leading contender [redacted]. He is the [redacted] and a consistent contributor to leading professional journals.

[redacted]

3. In connection with his duties as a consultant to certain of our projects, it was learned that Dr. [redacted] was planning the [redacted] study outlined in the attached project proposal and has received preliminary assurance that, in part at least, he could receive the necessary support outside the Agency. However, at our request, he agreed to submit this original request to [redacted]. Obviously, this type of grant is clearly consistent with the overt aims and purposes.

4. Funding and monitoring of this project will be handled by [redacted] in the regular manner. Accounting for the funds expended will be according to the procedures previously established by the [redacted]. Any unused funds remaining at the conclusion of the project will be returned to the Agency. Travel funds will be accounted for in a manner consistent with the established practice of the [redacted].

5. The total cost of the project will be \$34,465.00. Charges should be made against Allotment 0525-1009-4902. It is noted that Dr. [redacted] will be on sabbatical leave from [redacted] University during the period of this grant. [redacted] University does not pay salaries during sabbatical leaves, consequently a major portion of this grant includes the replacement of his salary during the period. Dr. [redacted] has indicated that he may be able to secure what is known as a Research Quarter beginning 1 April 1960. Since he will receive his salary during this period, the grant will be reduced accordingly. This amount would be approximately 1/4 of the salary allocation or \$3850.00.

[redacted]

6. Dr. [redacted] is approved by the Agency for access to TOP SECRET material. It is anticipated that his wife [redacted] will be cleared accordingly.

A
[redacted]
Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

A
[redacted]
Research Director

Date: 8/3/59

Attachment:
Project Proposal

Distribution:
Original only

[redacted]

Project Proposal

B

[REDACTED]

A STUDY OF THE CURRENT DECISION MATRICES OF [REDACTED] SCHOLARS

Investigators: [REDACTED] and [REDACTED]

Introduction

This proposal is concerned with the psychological matrices within which [REDACTED] scholars currently structure their decisions. Rather than analyzing the psychological differences that appear to characterize the people of one [REDACTED] wholly in contrast to those of another, this study is to be focused upon members of various scholarly communities and the distinctive features of their current outlooks.

Traditionally psychologists have approached the problem of understanding human behavior by attempting to seek out the motives or forces which seem to impel persons willy nilly along particular lines of action. This kind of psychology attempts to make sense out of what people do by envisioning extra-personal determinants, even though these determinants are often said to reside within the personality.

But there is another approach. It may be described as the psychology of personal constructs. Instead of looking for the forces which drive and direct human behavior, the personal construct psychologist looks for the personal construct systems which persons erect for themselves and within the limits of which choices between alternatives must be made. These personal construct systems may be regarded as sets of issues which the person has implicitly cast up for himself. They impose upon him a self-devised matrix within which he then has no choice but to channelize all of his decisions.

We may not always be able to tell what a person will do in a given situation, but we can come much closer to an understanding of what behaviors are available to him if we pay some attention to the system of alternatives he has marked out for himself in advance. Under pressure a person may change his behavior radically. It should be possible to anticipate some of these changes long in advance, not so much by guessing the direction of the forces impinging upon him as in terms of what, all along, he has structured as the practical alternative to what he was doing.

For example, a man may protest that he is a liberal scholar who believes in freedom of thought and action for everybody. Every psychological test may show that he has no intention of being

dislodged from this position. But no personal outlook, such as this one, can be psychologically specified until it is clear what is being implicitly denied, as well as what is being explicitly affirmed. Thus the personal construct psychologist is led by his line of approach to ask what it is that his friend is denying by his protestations of intellectual belief.

Assuming that any person can be pushed to the point where he will re-adjust his posture, it becomes important to find out what alternatives are available to him when he must make new choices. The firmness of his stand may be reassuring, provided he is never dislodged from it. But the question is, what direction will he jump if he can no longer stand where he is standing? What is the matrix of potential decisions he has erected for himself, and in a time of real crisis what network of open pathways will it provide for him?

There is reason to believe that men erect their personal construct systems--their matrices of decision--differently. Moreover, it seems reasonable to believe that these matrices will show distinctive sub-cultural patterns. In this study it is proposed that we limit ourselves to members of university communities in different [redacted]. These persons presumably have much in common by virtue of their common disciplinary interests and their shared dedication to intellectual inquiry.

F/C

But within this sub-culture of university scholars everywhere there must be crucial psychological differences of outlook which lie beneath the surface. Will all these scholars veer the same way when their present positions become untenable? We wonder.

Method of Inquiry

F

This is a proposal that the two investigators visit approximately [redacted] universities in approximately [redacted] during the twelve-month period beginning either April 1, 1960, or June 1, 1960. Since one of the investigators is a professor of psychology in an [redacted] university it should be possible to establish congenial relations with fellow psychologists in any [redacted] university. Moreover, since the other investigator is his [redacted], it should be possible to meet these persons in domestic settings and in company with groups of their friends. It is believed this is the ideal setting in which to explore the more subtle features of the group decision matrix.

F

F

F

Psychologists have a mutual concern for each others' research, and one of the related purposes of the study would be to learn something of what new approaches to psychological problems are being developed in the various [redacted] academic settings. But beyond this, the more challenging problem is to learn how these psychologists and their colleagues in other disciplines have structured their matrices of decision. From this it should be possible to anticipate what shifts of position would be open to them in a time of personal or national crisis.

F

It is possible, we believe, to use the method of group discussion, even when conducted through [redacted] to develop an appropriate statement of the decision matrix common to a given group. To be sure, certain standardized discussion techniques would have to be used, and it is proposed that a companion project be supported during the seven months prior to April 1, 1960, in order to develop those techniques to an optimum level.

It is tentatively planned to use a tape recorder in connection with these group discussions, and later to subject the recordings to psychological analysis. The interludes between periods of active travel would be used for this purpose, as well as the period subsequent to the termination of the supported project. It is also tentatively planned to photograph the participants in the group discussions so as to lend verisimilitude to the psychological reconstructions of the discussion sessions.

Analysis of Results

Personal construct systems may be reduced to the form of grids--called "repertory grids"--which then can be subjected to mathematical analysis.

[redacted] Equivalences between constructs can be determined by the matching of construct applications and known events. A matrix can be factor-analyzed to determine the extent to which it can be reduced to a simple structure, as well as to determine the poles of implicit behavior underlying the manifest position of the person whose system is being studied.

It is proposed that the analytic methods already devised from making sense out of the repertory grid be developed further in order to yield as much systematic information as possible about the potential decisions of individuals and groups in time of emergency. This further development, as well as the social and cultural information which it is hoped the study will adduce, should constitute a contribution to psychological knowledge and to the understanding of ecological factors in mankind's decisions.

Itinerary

It is proposed that the itinerary be divided into five sections, each involving from thirty to sixty days of active travel and followed by an equivalent period spent in one place to study data and plan revisions of procedures.

F

Section 1: [redacted] April 1, 1960, to June 1, 1960.

Visit universities and institutes of advanced study in approximately eight of the following cities:

F

[redacted]



Section 2: [redacted] June 1, 1960, to July 1, 1960.

Visit universities in approximately four of the following cities:



Interlude: July 1, 1960, to September 15, 1960.

A portion of this period may be spent in [redacted] or in [redacted] and the remainder used for more leisurely travel making whatever informal contacts may be possible.

Section 3: [redacted] September 15, 1960, to October 15, 1960.

Visit universities in approximately four of the following cities:



Interlude: [redacted] October 15, 1960, to November 15, 1960.

Section 4: [redacted] November 15, 1960, to December 15, 1960.

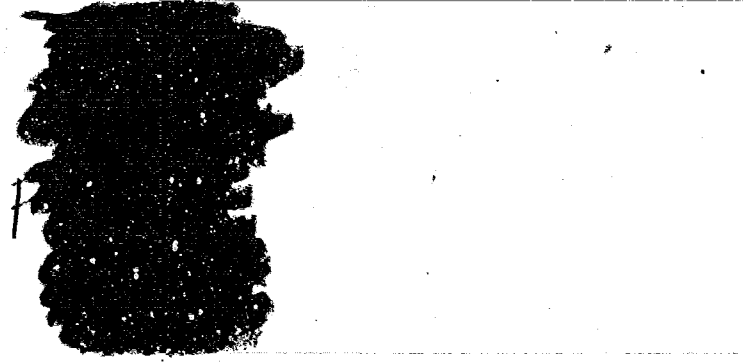
Visit universities in approximately four of the following cities:



Interlude: [redacted] December 15, 1960, to February 1, 1961.

Section 5: [redacted] February 1, 1961, to March 15, 1961.

Visit universities in approximately six of the following cities:



Budget

Stipend: Replacement of Investigators' Normal Earned Income for Twelve Months--Salaries and Not Consulting Fees \$ 15,000.00

Drawing Account:

Per Diem Expenses in [redacted] \$30 per person for 60 days	3,600.00*
Per Diem Expenses in [redacted] \$18 per person for 285 days	10,260.00*
Airline and Public Transportation	2,500.00*
Car Lease: Including Insurance and Travel Documents: 3 1/2 months	805.00*
Gas, Oil, and Tolls: 20,000 miles	700.00

Clerical Assistance	600.00
Equipment, Supplies, Film Processing, and Excess Baggage Charges	1,000.00
TOTAL	\$ 34,465.00

These figures are based in part on an estimate of \$7,500.00 F
for each of two persons by the [REDACTED]
F [REDACTED] That estimate did not include [REDACTED] and F
[REDACTED] return, the cost of lunches and dinners outside the
F [REDACTED] nor the gasoline and oil portion of car expenses for
20,000 miles of travel between June 1, 1930, and March 15,
1931. It is based, however, on first class accommodations,
and it is believed that with savings accruing from the use
of cheaper accommodations the expenses can be kept within
the proposed budget.

Respectfully submitted,

[REDACTED]

[REDACTED]

July 27, 1959

MEMORANDUM TO: The Directors

SUBJECT: Project Proposal Submitted by Dr. [REDACTED] Category A

The attached proposal B invited from Dr. [REDACTED] is a reply to the assessment program that the [REDACTED] has been conducting. You will note that it involves some rather extensive detail which, though unusual in the light of other facts reported by the [REDACTED] is justified in that it will contribute F to the work B already underway.

Dr. [REDACTED] recent works, [REDACTED] provide the theoretical background to his work. [REDACTED] prominent in the field of psychology and enjoys a reputation of high scientific and ethical standards. Dr. [REDACTED] worked with Dr. [REDACTED] reporting this proposal and regards it, in [REDACTED] as a worthwhile contribution to our program.

[REDACTED]
Executive Secretary

96-21
Same

July 27, 1959

[REDACTED] C
Dear Dr. [REDACTED] C

Thank you very much for your letter of July 18. Preliminary conversations indicate that the proposal will be well received. I have no suggestions or recommendation of changes and am putting it into the mail immediately. What this means is described in the brief statement which you have already seen. I should think it will be about two months before I will have a reply to offer, but that could be delayed just a bit by summer vacation of my Board members.

I had an opportunity to listen to one side of your tape before I left the [REDACTED] last week and can hardly wait to hear the rest of the interview. It must have been fascinating.

I'll look forward to seeing you at the [REDACTED] if you don't make it back this way before that time.

Sincerely yours,

[REDACTED] C
Executive Secretary

July 10, 1959

Mr. [redacted] Executive Secretary

Dear Mr. [redacted]

Enclosed are two project proposals drafted along the lines we discussed in [redacted] last month. The first is to cover the principal mission and the other is to take care of preparatory matters.

I wish you would look them both over and suggest any revisions you believe should be made.

There is a possibility I can get the University to give us a "research quarter" next spring. In that case I would be free to go [redacted] approximately April 1st, even though the proposed assignment from the [redacted] would not be effective until June 1st. The advantage of this plan would be to visit [redacted] universities while they were still in their spring sessions.

[redacted] gave us some information on per diem rates in [redacted] although they were not helpful in any other way. They estimated \$15 to \$25 per day, and said the \$15 would be an absolute rock bottom. I have, therefore, raised the per diem estimate to \$30 per person. Any savings we could accomplish, however, would be returned to the [redacted] since I have listed expenses under a "drawing account" rather than as a lump grant.

I am sending a copy of the proposal, as well as a copy of this letter, to Dr. [redacted]

Yours very truly,

[redacted signature block]